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BEFORE THE ARIZONA CORPORATION COMMISSION

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GARY PIERCE, Chairman
BOB STUMP
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BRENDA BURNS

ARIZONA CORPORATION COMMISSION
DOCKET CONTROL

In the matter of:

THOMAS LAURENCE HAMPTON,
CRD#2470192, and STEPHANIE YAGER,
husband and wife,

TIMOTHY D. MORAN, CRD#2326078, and
PATRICIA MORAN, husband and wife,

PATRICK MORAN, CRD#1496354, and KELLY
MORAN, husband and wife,

HAMPTON CAPITAL MARKETS, LLC, an
Arizona limited liability company,

Respondents

DOCKET NO. S-20823A-11-0407

**SECURITIES DIVISION'S NOTICE
OF COMPLIANCE WITH THE NINTH
PROCEDURAL ORDER**

(Assigned to Administrative Law Judge
Marc E. Stern)

The Securities Division ("Division") of the Arizona Corporation Commission has supplemented its allegations and files a copy of the Second Amended Notice of Opportunity for Hearing Regarding Proposed Order to Cease and Desist, Order for Restitution, Order for Administrative Penalties, Order of Revocation, and Order for Other Affirmative Action ("Second Amended Notice") herewith, as ordered by the Ninth Procedural Order. The Ninth Procedural Order required that the Division supplement the allegations against Respondent Timothy Moran as stated in its response, to which the Division has complied.

RESPECTFULLY SUBMITTED this 4th day of December, 2012.

ARIZONA CORPORATION COMMISSION

By

Phong (Paul) Huynh

Attorney for the Securities Division of the
Arizona Corporation Commission

AM

1 ORIGINAL AND EIGHT (8) COPIES of the foregoing
2 filed this 4 day of December, 2012 with:

3 Docket Control
4 Arizona Corporation Commission
1200 W. Washington St.
Phoenix, AZ 85007

5 COPY of the foregoing hand-delivered
6 this 4 day of December, 2012 to:

7 Mr. Marc E. Stern
8 Administrative Law Judge
Arizona Corporation Commission/Hearing Division
1200 W. Washington St.
Phoenix, AZ 85007

9 COPY of the foregoing mailed
10 this 4 day of December, 2012 to:

11 Thomas Hampton and Hampton Capital Markets, LLC
12 9026 E. Calle De Las Brisas
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